



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

On August 21, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: Julie Taylor Clough

**PATENT**  
Attorney Docket No.: 020699-000510US  
Client Reference No.: 50P3902.01

COPY OF PAPERS  
ORIGINALLY FILED

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Duruoz, et al.

Application No.: 10/005,884

Filed: December 3, 2001

For: APPLICATION PROGRAMMING  
INTERFACE FOR COMMUNICATION  
BETWEEN AUDIO/VIDEO FILE  
SYSTEM AND AUDIO VIDEO  
CONTROLLER

Examiner:

Art Unit: 2151

**TRANSMITTAL LETTER –  
RESPONSE TO NOTICE TO FILE  
MISSING PARTS**

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application mailed July 1, 2002, enclosed is a copy of the Response to Notice to File Missing Parts previously filed on May 7, 2002 in the above-identified application.

Please note that inventor Natarajan Sundaresan's signature appears on a second copy of the one-page declaration.

Applicants believe that the additional surcharge on this Notice to File Missing Parts does not apply, and therefore, no fee is due. However, the Commissioner is hereby

authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430.

Respectfully submitted,



Horace H. Ng  
Reg. No. 39,315

**Customer No. 20350**

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: 415-576-0200  
Fax: 415 576-0300  
HHN:jtc

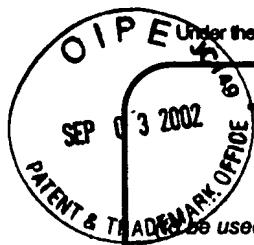
SF 1372952 v1

Please type a plus sign (+) inside this box → 

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

*(be used for all correspondence after initial filing)*

Total Number of Pages in This Submission

Application Number

10/005,884

Filing Date

December 3, 2001

First Named Inventor

Duruoz, Ibrahim Cem

Group Art Unit

2151

Examiner Name

Attorney Docket Number

020699-000510US

## ENCLOSURES (check all that apply)

 Fee Transmittal Form Fee Attached Amendment / Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 Assignment Papers  
(for an Application) Drawing(s) Licensing-related Papers Petition Routing Slip (PTO/SB/69) and Accompanying Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s)  
(please identify below):Assignment Recordation Coversheet;  
Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
and  
Individual name

Townsend and Townsend and Crew LLP

Guy W. Chambers

Reg. No. 30,617

Signature

Date

May 7, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

May 7, 2002

Typed or printed name

Linda Burgess

Signature

Date

May 7, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SF 1343705 v1

COMPLETED  
FILED

AP

# TRANSMITTAL FORM

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Total Number of Pages in This Submission

18

Application Number

10/005,884

Filing Date

December 3, 2001

First Named Inventor

Duruoz, Ibrahim Cem

Group Art Unit

2151

Examiner Name

Attorney Docket Number

020699-000510US

COPY OF PAPERS  
ORIGINALLY FILED

## ENCLOSURES (check all that apply)

 Fee Transmittal Form Fee Attached Amendment / Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 Assignment Papers  
(for an Application) Drawing(s) Licensing-related Papers Petition Routing Slip (PTO/SB/69) and Accompanying Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s)  
(please identify below):

Copy of Response to Notice of Missing Parts Previously filed on May 7, 2002 forwarding executed declaration, copy of Notice to File Missing Parts Mailed July 1, 2002, Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name

Townsend and Townsend and Crew LLP

Horace H. Ng

Reg. No. 39,315

Signature

Date

8-21-02

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

August 21, 2002

Typed or printed name

Julie Taylor Clough

Signature

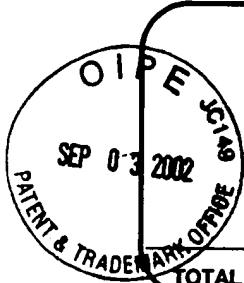
Date

August 21, 2002

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SF 1378292 v1

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$ 2066)

| Complete If Known    |                     |
|----------------------|---------------------|
| Application Number   | 10/005,884          |
| Filing Date          | December 3, 2001    |
| First Named Inventor | Duruoz, Ibrahim Cem |
| Examiner Name        |                     |
| Group Art Unit       | 2151                |
| Attorney Docket No.  | 020699-000510US     |

**METHOD OF PAYMENT (check all that apply)**

Check  Credit Card  MoneyOrder  Other  None

Deposit Account:

Deposit Account Number

20-1430

Deposit Account Name

Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

**FEE CALCULATION**
**1. BASIC FILING FEE**

| Large Entity | Small Entity |
|--------------|--------------|
|--------------|--------------|

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 101      | 740      | 201      | 370      | Utility filing fee     | 740      |
| 106      | 330      | 206      | 165      | Design filing fee      |          |
| 107      | 510      | 207      | 255      | Plant filing fee       |          |
| 108      | 740      | 208      | 370      | Reissue filing fee     |          |
| 114      | 160      | 214      | 80       | Provisional filing fee |          |

SUBTOTAL (1)

(\$740)

**2. EXTRA CLAIM FEES**

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------------|--------------|----------------|----------|
|              | 62                 | -2020**            | = 42         | X \$18         | = \$756  |
|              | 3                  | -33**              | = 0          | X \$84         | = \$0    |

| Large Entity | Small Entity |
|--------------|--------------|
|--------------|--------------|

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  |
|----------|----------|----------|----------|--|
| 103      | 18       | 203      | 9        | Claims in excess of 20                                     |
| 102      | 84       | 202      | 42       | Independent claims in excess of 3                          |
| 104      | 280      | 204      | 140      | Multiple dependent claim, if not paid                      |
| 109      | 84       | 209      | 42       | ** Reissue independent claims over original patent         |
| 110      | 18       | 210      | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$756)

\*\* or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)**

| 3. ADDITIONAL FEES | Large Entity | Small Entity | Fee Description | Fee Paid  |
|--------------------|--------------|--------------|-----------------|---|
|                    | Fee Code     | Fee (\$)     | Fee Code        | Fee (\$)  |
|                    | 105          | 130          | 205             | 65 Surcharge - late filing fee or oath  |
|                    | 127          | 50           | 227             | 25 Surcharge - late provisional filing fee or cover sheet                     |
|                    | 139          | 130          | 139             | 130 Non-English specification   |
|                    | 147          | 2,520        | 147             | 2,520 For filing a request for reexamination                                  |
|                    | 112          | 920*         | 112             | 920* Requesting publication of SIR prior to Examiner action                   |
|                    | 113          | 1,840*       | 113             | 1,840* Requesting publication of SIR after Examiner action                    |
|                    | 115          | 110          | 215             | 55 Extension for reply within first month                                     |
|                    | 116          | 400          | 216             | 200 Extension for reply within second month                                   |
|                    | 117          | 920          | 217             | 460 Extension for reply within third month                                    |
|                    | 18           | 1,440        | 218             | 720 Extension for reply within fourth month                                   |
|                    | 28           | 1,960        | 228             | 980 Extension for reply within fifth month                                    |
|                    | 19           | 320          | 219             | 160 Notice of Appeal  |
|                    | 20           | 320          | 220             | 160 Filing a brief in support of an appeal                                    |
|                    | 21           | 280          | 221             | 140 Request for oral hearing  |
|                    | 38           | 1,510        | 138             | 1,510 Petition to Institute a public use proceeding                           |
|                    | 40           | 110          | 240             | 55 Petition to revive - unavoidable   |
|                    | 141          | 1,280        | 241             | 640 Petition to revive - unintentional  |
|                    | 142          | 1,280        | 242             | 640 Utility issue fee (or reissue)  |
|                    | 143          | 460          | 243             | 230 Design issue fee  |
|                    | 144          | 620          | 244             | 310 Plant issue fee   |
|                    | 122          | 130          | 122             | 130 Petitions to the Commissioner   |
|                    | 123          | 50           | 123             | 50 Petitions related to provisional applications                              |
|                    | 126          | 180          | 126             | 160 Submission of Information Disclosure Stmt                                 |
|                    | 581          | 40           | 581             | 40 Recording each patent assignment per property (times number of properties) |
|                    | 146          | 740          | 246             | 370 Filing a submission after final rejection (37 CFR § 1.129(a))             |
|                    | 149          | 740          | 249             | 370 For each additional invention to be examined (37 CFR § 1.129(b))          |
|                    | 179          | 740          | 279             | 370 Request for Continued Examination (RCE)                                   |
|                    | 169          | 900          | 169             | 900 Request for expedited examination of a design application                 |

Other fee (specify)

The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$570)

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| SUBMITTED BY      |                 | Complete (if applicable)          |              |
|-------------------|-----------------|-----------------------------------|--------------|
| Name (Print/Type) | Guy W. Chambers | Registration No. (Attorney/Agent) | 30,617       |
| Signature         |                 | Telephone                         | 415-578-0200 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SF 1343700 v1



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TO THE U.S. PATENT AND TRADEMARK OFFICE  
Please stamp the date of receipt of the following document(s)

RE: Duruoz, Ibrahim Cem et al

TITLE OF DOCUMENT(S): Revised Drawing Fig. 1  
Transmittal Form; Fee Transmittal (2); Copy of Notice of Missing Parts;  
Declaration; Assignment; Assignment Recordation Coversheet; Return Postcard

Application No. 10/005,884

File No. 020699-000510

Date Due May 7, 2002

Date Mailed: May 7, 2002

Attny/Secty. HHN:lcb

SF 1343723 v1

TO THE U.S. PATENT AND TRADEMARK OFFICE  
Please stamp the date of receipt of the following document(s)

RE: Duruoz, Ibrahim Cem et al

TITLE OF DOCUMENT(S): Revised Drawing Fig. 1  
Transmittal Form; Fee Transmittal (2); Copy of Notice of Missing Parts;  
Declaration; Assignment; Assignment Recordation Coversheet; Return Postcard

Application No. 10/005,884

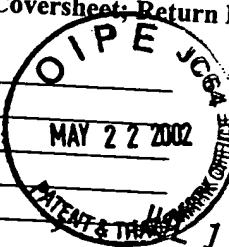
File No. 020699-000510

Date Due May 7, 2002

Date Mailed: May 7, 2002

Attny/Secty. HHN:lcb JTC

SF 1343723 v1





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/005,884         | 12/03/2001          | Ibrahim Cem Duruoz    | 020699-000510US        |

20350  
TOWNSEND AND TOWNSEND AND CREW, LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 7984

## FORMALITIES LETTER



\*OC000000007275260\*

Date Mailed: 01/07/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*

- Total additional claim fee(s) for this application is \$756.

■ \$756 for 42 total claims over 20.

- The oath or declaration is missing.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 1626.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

■ drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

---

*P.3ee*

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/005,884         | 12/03/2001          | Ibrahim Cem Duruozi   | 020699-000510US        |

20350  
TOWNSEND AND TOWNSEND AND CREW, LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 7984

## FORMALITIES LETTER



\*OC000000008383610\*

Date Mailed: 07/01/2002



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Natarajan Sundaresan*
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY

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